PTO/SB/21 (02-04) Application Number 10/738.358 **TRANSMITTAL** Filing Date December 5, 2003' First Named Inventor DAI, GUANGMING Art Unit all correspondence after initial filing) ` 2873 Examiner Name Unassigned Attorney Docket Number 018158-022220US of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer identify below): Return Postcard **Express Abandonment Request** Request for Refund 14 Reference Copies Information Disclosure Statement CD, Number of CD(s) PTO/SB08A and /08B Form The Commissioner is authorized to charge any additional fees to Deposit Remarks Certified Copy of Priority Account 20-1430. Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Individual name Mark D. Barrish Reg. No. 36,443 Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Date

60251406 v1

Signature

Typed or printed name

Brad J. Loos

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 018158-022220US Client Reference No.: VX-1170/1173/1217

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

) I P E

06/30/04

JUL 0 2 2004 TownSEND and TOWNSEND and CREW LLP

Brad J. Loos

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GUANGMING DAI ET AL.

Application No.: 10/738,358

Filed: December 5, 2003

For: PRESBYOPIA CORRECTION

USING PATIENT DATA

Examiner: Unassigned

Art Unit: 2873

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

**§1.98** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

**GUANGMING DAI ET AL** Application No.: 10/738,358

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Mark D. Barrish Reg. No. 36,443

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor

San Francisco, California 94111-3834 Tel: 650-326-2400

Fax: 650-326-2422

MDB:bjl 60251396 v1 Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 10/738,358 Application Number Filing Date December 5, 2003 DAI, GUANGMING First Named Inventor Art Unit 2873 Examiner Name Unassigned 018158-022220US

(use as many sheets as necessary)

Attorney Docket Number

| U.S. PATENT DOCUMENTS+ |                 |  |                                |  |   |  |
|------------------------|-----------------|--|--------------------------------|--|---|--|
|                        | Document Number |  |                                |  |   |  |
| Examiner<br>Initials*  | Cite<br>No.¹    | Number Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                        | AA              | US-5,682,223                             | 10-28-1997                     | Menezes et al.                                     |   |  |
|                        | AB              | US-5,684,560                             | 11-04-1997                     | Roffman et al.                                     |   |  |
|                        | AC              | US-5,724,258                             | 03-03-1998                     | Roffman  | -   |  |
|                        | AD              | US-5,807,377                             | 09-15-1998                     | Madhani et al.                                     |   |  |
|                        | AE              | US-6,200,342 B1                          | 03-13-2001                     | Tassignon  |   |  |
|                        | AF              | US-6,280,435 B1                          | 08-28-2001                     | Odrich et al.                                      |   |  |
|                        | AG              | US-6,554,429 B1                          | 04-29-2003                     | Campin et al.                                      |   |  |
|                        | AH              | US-6,682,196 B1                          | 01-27-2004                     | Sheets, Jr. et al.                                 |   |  |
| ,                      | Al              | US-6,679,606 B2                          | 01-20-2004                     | Campin et al.                                      |   |  |
|                        | AJ              | US-2002/0140902 A1                       | 10-03-2002                     | Guirao et al.                                      |   |  |
|                        | AK              | US-2003/0199858 A1                       | 10-23-2003                     | Schelonka  |   |  |

|                       |   |  |                                      | FOREIGN PA                                | TENT DOCUME                    | NTS                          |  |                |
|-----------------------|---|--|--------------------------------------|---|--------------------------------|------------------------------|--|----------------|
| Examiner<br>Initials* | Cite<br>No.1  | Foreign Patent Document  |                                      |   | Name of Patentee or            | Pages, Columns, L            |  |                |
|                       |   | Country Code <sup>3</sup>  | Number <sup>4</sup>                  | Kind Code <sup>5</sup> (if known)         | Publication Date<br>MM-DD-YYYY | Applicant of Cited  Document | Where Relevan<br>Passages or Relev<br>Figures Appear | vant 📗 🗝       |
|                       |   |  |                                      | ,   |                                |                              |  |                |
|                       |   |  | . NO                                 | N PATENT LIT                              | ERATURE DOC                    | UMENTS                       |  |                |
| Examiner Initials *   | Cite<br>No.1  | - (book, magazine, journal, denal, symposium, catalog, etc.), date, page(s), volume issue number(s), |                                      |   |                                |                              |  | T <sup>2</sup> |
|                       | AL  |  |                                      | pil: Anatomy, Physic<br>op. 296, 301-304. | ology and Clinical App         | olications, Volume 1, (©199  | 3) Wayne State                                       |                |
|                       | AM Moreira et al., "Multifocal Corneal Topographic Changes with Excimer Laser Photorefractive Keratectomy" Arch.  Ophthalmol. (1992) 110:994-999. |  |                                      |   |                                |                              |  |                |
|                       | AN  |  | al., "Excimer La<br>fractive Surgery |   | Keratectomy for Pres           | byopia: 24-month Follow-u    | p in Three Eyes"                                     |                |

60251396 v1

| Examiner  |   | Date       |     |
|-----------|---|------------|-----|
| Signature | · | Considered | · · |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.